

11/02/98



JCS41 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Hahn et al. Group Art Unit: TBA  
Serial No. : TBA Examiner: TBA  
Filed : HEREWITH  
For : REFERENCE CLONES AND SEQUENCES FOR NON-SUBTYPE B ISOLATES OF HUMAN IMMUNODEFICIENCY VIRUS TYPE 1

109/184418 Pro  
14/02/98

**TRANSMITTAL LETTER**

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

I hereby certify that the attached

1. Express Mail Certificate, including Express Mail Label No. TB608957514US
2. UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL  
(1.53(b)) (2 copies)
3. 68 pages of specification; 1 page of Abstract, 5 pages of claims (claims 1-41); 1 cover page
4. Formal Drawings (Figures 1 - 22) (65 sheets)
5. Executed Combined Declaration and Power of Attorney (6 pages)
6. Executed Assignment (3 pages)
7. Recordation Form Coversheet Pursuant to 37 CFR § 3.31, including check for \$40.00
8. Return Receipt Postcard

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3532-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN

By: Mary Morry  
Mary J. Morry  
Registration No. 34,398

Dated: November 2, 1998

Mailing Address:

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(212) 751-6849 Facsimile

429458\_1

PATENT

Docket No. 3532-4000

Express Mail Label No. TB608957514US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and  
Address(es): Beatrice H. Hahn, residing at 3571 Rockhill Road, Birmingham, AL 35223; George M. Shaw, residing at 3571 Rockhill Road, Birmingham, AL 35223; and Feng Gao, residing at 2308 Mountain Oaks Lane, Hoover, AL 35226

For: REFERENCE CLONES AND SEQUENCES FOR NON-SUBTYPE B ISOLATES OF HUMAN IMMUNODEFICIENCY VIRUS TYPE 1

Enclosed are:

[X] 68 page(s) of specification, 1 page(s) of Abstract, 5 page(s) of claims, 1 cover sheet

[ X ] 65 sheets of drawing [ X ] formal [ ] informal

[ X ] 6 page(s) of Declaration and Power of Attorney

[ ] Unsigned  
[ X ] Newly Executed  
[ ] Copy from prior application

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[ X ] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[ ] Microfiche Computer Program (Appendix)

[ ] \_\_\_\_\_ page(s) of Sequence Listing

[ ] computer readable disk containing Sequence Listing  
[ ] Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

- Claim for Priority
- Certified copy of Priority Document(s)
- English translation documents
- Information Disclosure Statement
- Copy of \_\_\_\_\_ cited references
- Copy of PTO-1449 filed in parent application serial No. \_\_\_\_\_.
- Preliminary Amendment
- Return receipt postcard (MPEP 503)
- Assignment Papers (assignment cover sheet and assignment documents)
- A check in the amount of \$40.00 for recording the Assignment.
- Assignment papers filed in parent application Serial No. \_\_\_\_\_.
- Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- This is a [ ] continuation [ ] divisional [ ] continuation-in-part (C-I-P) of prior application serial no. \_\_\_\_\_.
- Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.)
- The status of the parent application is as follows:
- A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- Transfer the drawing(s) from the patent application to this application.
- Amend the specification by inserting before the first line the sentence:  
This is a [ ] continuation [ ] divisional [ ] continuation-in-part of co-pending application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

## I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

|                           |              |              |                  | Basic Fee          |
|---------------------------|--------------|--------------|------------------|--------------------|
|                           | Number Filed | Number Extra | Rate             | \$ 790.00          |
| Total Claims              | 168          | -20=         | 148              | x\$22.00 \$3256.00 |
| Independent Claims        | 4            | - 3=         | 1                | x82.00 \$ 82.00    |
| Multiple Dependent Claims |              |              |                  |                    |
|                           | [x] yes      |              | Additional Fee = | \$270.00           |
|                           | [ ] no       |              | Add'l Fee =      | NONE \$ 270.00     |

Total: \$ 4,398.00

- [ ] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ \_\_\_\_\_.
- [ ] A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fees is attached.
- [ X ] Charge Fee(s) of \$4,398 to Deposit Account No. 13-4500. Order No. 3532-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [ X ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3532-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN &amp; FINNEGAN, L.L.P.

By: Mary Morry  
 Mary J. Morry  
 Registration No. 34,398

Dated: November 2, 1998

## CORRESPONDENCE ADDRESS:

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FORM: UTL-TRAN.NY  
 Rev. 5/21/98

PATENT  
Docket No. 3532-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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ISOLATES OF HUMAN IMMUNODEFICIENCY VIRUS TYPE 1

EXPRESS MAIL CERTIFICATE

Express Mail Label No. TB608957514US

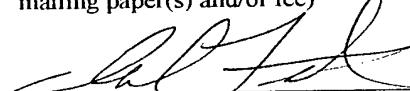
Date of Deposit November 2, 1998

I hereby certify that the following attached paper(s) and/or fee

1. Transmittal Letter (2 copies)
2. UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b)) (2 copies)
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Israel Fuentes  
(Typed or printed name of person  
mailing paper(s) and/or fee)



(Signature of person mailing  
paper(s) and/or fee)

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Rev. 05/27/98

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